



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sloan et al.

Docket No.: 60021-375901

Serial No.: 09/704,838

Group Art Unit: Unassigned

Filing Date: 11/01/00

Examiner: Unassigned

For: A USER INTERFACE FOR A FINANCIAL MODELING SYSTEM

3628
w/d
12-203
Sub
Spec (7E)

Assistant Commissioner of Patents
Washington, D.C. 20231

I hereby certify that this paper and/or fee is being deposited with the United States Postal Service First Mail service on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, DC 20231, on December 12, 2002.

Ann Pommier
Ann Pommier

PRELIMINARY AMENDMENT

Sir:

Please amend the Application and consider the remarks as hereafter provided.

This is a clean copy of the specification and claims. Attached hereto is a marked-up version of the changes made to the specification and claims. The attached pages are captioned "Version with Markings to Show Changes Made."

In the Specification:

Please amend the specification starting with the page 2, line 3: 70

1931, line 7

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GROUP 3600

FIELD OF INVENTION

The present invention relates generally to computerized information systems and more particularly to web-enabled computer implemented financial modeling systems.

BACKGROUND OF THE INVENTION

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Traditionally, many individuals have entrusted their financial plans to personal financial advisors. But today, more and more individuals are taking their financial destiny into their own hands and playing a more active role in planning and achieving their financial goals.

Increasingly, these individuals rely on computer-based systems that organize their financial assets and liabilities and further provide them with a summary of their financial health.